

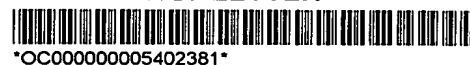
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/625,228	07/24/2000	David Caplan	A-67467-2/RBC//MAK

Flehr Hohbach Test Albritton & Herbert LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

## FORMALITIES LETTER



\*OC000000005402381\*

Date Mailed: 09/15/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

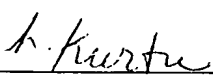
FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$186.
  - \$108 for 6 total claims over 20.
  - \$78 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1006.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

10/25/2000 ETULU1 00000041 09625228

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:102	80.00 OP
04 FC:103	108.00 OP

PART 2 - COPY TO BE RETURNED WITH RESPONSE



*Sector* *SS*

**PATENT**  
Attorney Docket No.: A-67467-2/RBC/MAK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Examiner:	Not Yet Known
	)		
David CAPLAN, et al.	)	Group Art Unit:	3634
	)		
Serial No.: 09/625,228	)		
	)		
Filing Date: 24 July 2000	)		
	)		
For: MODULAR RACK FOR	)		
COMPACT DISCS	)		

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on 19 October 2000.

Signed: *Todd V. Leone*  
Todd V. Leone

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This transmittal is in response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b), *Filing Date Granted*, mailed 15 September 2000.

Applicants submit the following documents, including those submitted under 37 C.F.R. § 1.47(a) and M.P.E.P. § 409.03:

1. This Transmittal of Missing Parts of Application.
2. The return copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b), *Filing Date Granted*.

3. Preliminary Amendment.
4. Declaration and Power of Attorney.
5. Assignment with PTO-1595 Recordation Form Cover Sheet.
5. Our Check No. 32554 in the amount of \$40.00 to pay the recordation fee.
6. Our Check No. 32563 in the amount of \$1,028.00 to pay: the basic filing fee (\$710.00), the missing parts surcharge (\$130.00), and the fees for excess claims (\$80.00 for 1 independent claim in excess of 3 and \$108.00 for 6 total claims in excess of 20).

The Commissioner is hereby authorized to charge any additional fees that may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (our Order No. A-67467-2).

Date: 19 October 2000

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

By: Michael A. Kaufman  
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